



# MEMORANDUM – 2025-026

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 05 SEPTEMBER 2025

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2025.

For information and guidance.

*(original signed)*  
**GERARD B. SANVICTORES**  
*President*

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Sep-25	SEPONIO	ROSE LEAH Y.	SETTLEMENTS OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
30-Aug-25	REYES	JAZZELYN F.	SETTLEMENTS OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
29-Aug-25	BORGA	JOHN ANTHONY M.	RELATIONSHIP OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
23-Aug-25	VILLEGAS	DAN LINCOLN T.	JUNIOR DEALER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
20-Aug-25	SOLIVIO	KIM A.	CO LEAD BACKEND ENGINEER	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (no clearance required)
19-Aug-25	RAMIREZ	CHOLO MIGUEL C.	RESEARCH ASSISTANT	RESEARCH ANALYST	AP SECURITIES, INC.	RE-DESIGNATION
18-Aug-25	BERNAL	ANGELICA Q.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Aug-25	OMBION	MARIA MAXINE A.	-	OPERATIONS ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Aug-25	ELICANAL	THERESE MAXINE S.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	KOHZAI	MICHELLE O.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	LIM	NICOLE HUGGINS K.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	MANINGAS	MARIA ISABEL G.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	PEÑARANDA	MARA BERNADETTE D.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	VALMADRID	CARL GERARD V.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	YUMUL-DIAZ	REGINA LEANNE B.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU

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18-Aug-25	SY	SERENA RHENE T.	ACCOUNT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDOU
18-Aug-25	ABONAL	ROMA JYN E.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Aug-25	CALULANG	MARY JANE P.	-	JUNIOR DEVOPS ENGINEER	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
18-Aug-25	GARALDE	REYNOLD C.	-	TRADER	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
18-Aug-25	POMUCENO	RICZ GUILLE I.	-	DOCUMENTATION ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
18-Aug-25	GICALE	JANNA MARIE T.	-	OPERATIONS ASSISTANT / GENERALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
18-Aug-25	PASCUAL	JILL E.	APPRENTICE	-	CHINA BANK SECURITIES CORPORATION	RESIGNATION (no clearance required)
16-Aug-25	RECTO	MARY JOYCE D.	IT STAFF - CONTRACTUAL	IT OFFICER	MAYBANK SECURITIES, INC.	RE-DESIGNATION
16-Aug-25	INOFERIO	HOMER JOSHUA T.	CSR EQUITIES TRADER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
15-Aug-25	MALINAO	MANUEL ANGELO P.	TRADER	-	LANDBANK SECURITIES, INC.	RESIGNATION (cleared)
15-Aug-25	QUINTANA	RIZZA MAE S.	TRADE INVOICING	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
14-Aug-25	FERRERAS	LESLIE E.	ACCOUNTING ASSISTANT	-	APEX PHILS. EQUITIES CORPORATION	RESIGNATION (no clearance required)
14-Aug-25	EMIA	JESEVEL D.	-	ACCOUNTING ASSISTANT	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
14-Aug-25	MISTAL	MAXI SIMON V.	-	ASSISTANT TRADER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
9-Aug-25	SARIA	SABENO B.	MEMBER, BOARD OF DIRECTOR	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION (no clearance required)

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8-Aug-25	TO	FREDRICH RUSSELL C.	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
8-Aug-25	CANTONG	ROGELDA G.	ACCOUNTING ASSISTANT	-	OPTIMUM SECURITIES CORPORATION	RESIGNATION (clearance under process)
7-Aug-25	LOCSIN	BIANCA MICAELA Y.	DIRECTOR	-	THE FIRST RESOURCES MGMT. & SECURITIES CORP.	RESIGNATION (no clearance required)
6-Aug-25	OLAYRES	NICOLE D.	DATA ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
6-Aug-25	CALALO	CEDRICK ALPHONZO M.	-	IT STAFF - CONTRACTUAL	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
5-Aug-25	ENZON	ARVIN P.	ON-JOB-TRAINING	-	WECAP FINANCIAL, INC.	RESIGNATION (cleared)
5-Aug-25	UNLAY	LUIS GABRIEL S.	ASSOCIATE	INSTITUTIONAL SALES	CLSA PHILIPPINES, INC.	RE-DESIGNATION
5-Aug-25	PAISAN	JOHN VINCE R.	-	SETTLEMENT ASSOCIATE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
4-Aug-25	ANG	RAMONA L.	LICENSED SALESMAN	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION (cleared)
4-Aug-25	GO	MICAH JULIENNE Y.	-	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Aug-25	GUTIERREZ	JOLLY C.	-	TRADING ASST.	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
4-Aug-25	ROMUALDEZ	NICOLAS ALEXANDER MAXIMILIAN P.	-	TRAINEE/SALESMAN	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
4-Aug-25	DIZON	LOUIS ANDREW C.	-	LEAD BACKEND ENGINEER	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
1-Aug-25	COS	CARMELITA T.	ACCOUNTING OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Aug-25	LIM	JAMIE ANNE L.	OPERATIONS ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)

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1-Aug-25	JAVIER	REYNALITO A.	-	MESSENGER	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
1-Aug-25	LIWANAG	CHARISSA JANE V.	-	HR STAFF	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
1-Aug-25	TANTOY	RONALD B.	AUTHORIZED PERSON	SALESPERSON	H.E. BENNETT SECURITIES, INC.	RE-DESIGNATION
1-Aug-25	ASUNCION	LUIZ MIGUEL P.	-	INDEPENDENT SALES AGENT	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Aug-25	DURANA	PRECILITA B.	SETTLEMENT ASSOCIATE	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
1-Aug-25	GENTALLAN	WELLY M.	FIELD REPRESENTATIVE	-	GUILD SECURITIES, INC.	RESIGNATION (clearance under process)
1-Aug-25	VISICO	EDRIAN A.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Aug-25	CARDENAS	PAULINE S.	CUSTOMER SUPPORT OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
1-Aug-25	SINGSON	MICHELLE M.	SETTLEMENTS OFFICER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
1-Aug-25	AGUSTIN	MARC GABRIEL L.	INTERN	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
1-Aug-25	OLIVER	BREATHERN	-	COMPLIANCE AND RISK ASSOCIATE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Aug-25	BELLO	ANNALYN O.	-	ONBOARDING SPECIALIST	SB EQUITIES, INC.	NEW EMPLOYMENT
1-Aug-25	ENRIQUE	RENVEL M.	-	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Aug-25	ESPINA	MARIBEL A.	-	CLIENT EXPERIENCE SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Aug-25	PAMADO	MARC AINGEL T.	-	FUND SOURCE SALES ADMIN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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1-Aug-25	VALENCIA	GIRLIE A.	-	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Aug-25	AQUINO	GODOFREDO ABDULLAH R.	-	DIRECTOR – BUSINESS DEVELOPMENT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
1-Aug-25	GUMANAD	RAMIL N.	-	BUSINESS SUPPORT COORDINATOR	GUILD SECURITIES, INC.	NEW EMPLOYMENT
1-Aug-25	HOMENA	GEYZON KRISTOFFER S.	-	MARKETING CONSULTANT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Aug-25	RENDON	DIONISIO JR. L.	SETTLEMENT HEAD	ACCOUNTING STAFF	LARRGO SECURITIES CO., INC.	RE-DESIGNATION
1-Aug-25	UICHICO	SEBASTIAN THOMAS L.	TRAINEE	SETTLEMENT HEAD	LARRGO SECURITIES CO., INC.	RE-DESIGNATION
1-Aug-25	VINALON	ROMMEL L.	-	IT HEAD	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Aug-25	NOCHE	LALAIN V.	PROJECT HIRE-CS ONLINE	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
1-Aug-25	GARCIA	GIAN CARLO V.	RESEARCH INTERN	-	AP SECURITIES, INC.	RESIGNATION (cleared)
1-Aug-25	BOCAYONG	LINDOLYN C.	-	ASSISTANT TREASURY MANAGER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
1-Aug-25	MATUGAS	CLAIRE R.	BRANCH OPERATIONS SR. SUPERVISOR	BRANCH OPERATIONS ASSISTANT MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-Aug-25	CAPITAN	HANZCEL G.	MESSENGER	BRANCH ADMINISTRATION STAFF	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
31-Jul-25	MENDOZA	ALEJANDRO JOAQUIN C.	INSTITUTIONAL BUSINESS GROUP ASSOCIATE	INSTITUTIONAL EQUITY DEALER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
31-Jul-25	GUTIERREZ	JOLLY C.	TRADING AGENT	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (cleared)
31-Jul-25	JUNTADO	BEA CLARRISE B.	-	ACCOUNTING ASSISTANT	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT

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31-Jul-25	SY	JANINE AUBREY S.	BUSINESS DEVELOPMENT INTERN	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
30-Jul-25	COTONER	STEPHEN DAVID H.	-	ACCOUNTING SUPERVISOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
30-Jul-25	GO	SAMUEL BENJAMIN G.	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
30-Jul-25	OBIEREZ	ANDRE MIKHAIL F.	-	EQUITIES INVESTMENT ADVISER - SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
29-Jul-25	CRUZ	EURIZ S.	ACCOUNT SERVICES OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
29-Jul-25	ILAGAN	MARCUS EIVAN M.	-	ON-THE-JOB TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jul-25	BAYUDAN	ANN JUSTINE	-	ON-THE-JOB TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jul-25	GLUDO	KIER PETER G.	-	ON-THE-JOB TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jul-25	JALI-JALI	KENT LOUIE D.	-	ON-THE-JOB TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jul-25	SANTIAGO	JOSH XAVIERRE	-	ON-THE-JOB TRAINEE	VC SECURITIES CORPORATION	NEW EMPLOYMENT
28-Jul-25	BON	GISELLE C.	-	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
28-Jul-25	REYES	ARRINANE DANAH A.	JUNIOR FINANCIAL ASSOCIATE	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (no clearance required)
28-Jul-25	AGUDO	MIRABELLE M.	-	JUNIOR FINANCIAL ASSOCIATE	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
26-Jul-25	RETRETA	CHERRY E.	-	HOUSEKEEPER	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
25-Jul-25	GALUTERA	KIM CHARMAINE	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)

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24-Jul-25	DAQUIPIL	KIER ANDREA T.	ACCOUNTING ASSISTANT	ACCOUNTING ASSOCIATE	DRAGONFI SECURITIES INC.	RE-DESIGNATION
18-Jul-25	PAMPOLINA	EZEKIEL JOHN B.	EQUITY RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
16-Jul-25	CHU	MAXINE JULIANNE G.	-	JUNIOR RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-25	CHENG	LUKE E.	-	INTERN-RESEARCH	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-25	NGO	KRISTOFFER LLOYD G.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-25	HING	MARIE ANGELA M.	ASSISTANT TREASURER	TREASURER/FVP - TREASURY & FIXED INCOME	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Jul-25	MONTES	DIANA C.	-	AVP - MARKETING	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-25	MENDOZA	WYNDELL A.	HEAD OF OPERATIONS	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
15-Jul-25	ROSALES	JOHANN Y.	HEAD OF FINANCE	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
15-Jul-25	GAWECO	ALESSANDRA EUGENIE G.	OFFICE STAFF	-	MOUNT PEAK SECURITIES, INC.	RESIGNATION (cleared)
14-Jul-25	CARLOS	MA. SOFIA ISABELLE D.	-	MARKETING & COMMUNICATIONS ASSOCIATE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
14-Jul-25	ONGPIN	LUISA M.	-	INTERN-RESEARCH	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
12-Jul-25	MAGMANLAC	ARIANE MAE A.	CUSTOMER SUPPORT SPECIALIST	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
11-Jul-25	CHAN	KERWIN MALCOLM Y.	INSTITUTIONAL EQUITY SALES	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
5-Jul-25	QUERIMIT	CHRYSTAL JUSTINE B.	CUSTOMER SUPPORT STAFF	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)



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1-Jul-25	JAVATE	JED C.	JAM - DISBURSEMENT CLERK	JAM - SECTION HEAD OF GENERAL ADMIN AND DISBURSEMENT	RCBC SECURITIES, INC.	RE-DESIGNATION
30-Jun-25	DUQUE	LEANIE V.	SALES SUPPORT STAFF	-	AP SECURITIES, INC.	RESIGNATION (cleared)
31-May-25	PENARANDA	CHRISTINE GAYLE A.	MANAGER - MARKETING	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
15-May-25	NGO	KRISTOFFER LLOYD G.	AGENT/ SALESMAN	-	UNICAPITAL SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
21-Feb-25	ASPERA	AILENE V.	ACCOUNTING HEAD	-	G. D. TAN & COMPANY, INC.	DECEASED